# JAN 12 2004

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Viscito, et al.

Serial No.: 10/655,698

Filed: September 5, 2003

For: Hypothetical Reference Decoder with Low Start-Up Delays for Compressed Image

and Video

Art Unit: 2624

Examiner: Unknown

## **CORRECTION TO THE FILING RECEIPT**

Initial Patent Examination's Filing Receipt Corrections Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir/Madam:

Enclosed please find a copy of the filing receipt with corrections noted thereon. As shown, please change the country "CANADA" appearing after Eric Viscito's name to — US—. Also, please add — US— after Dzung T. Hoang's name.

Please call the undersigned if you have any questions.

Dated:

Respectfully Submitted; FARJAMI & FARJAMI LLP

Farshad Farjami, Esq

Reg. No. 41,014

Farshad Farjami, Esq. FARJAMI & FARJAMI LLP 16148 Sand Canyon Irvine, California 92618

Tel: (949) 784-4600 Fax: (949) 784-4601

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed: Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: 1/8/6	×
lori Lapida	റ്റ
Printed Name of Person Mailing I	Fee and/or Paper
De Lapidais	1/8/04
Signature	Date

PTO/SB/21 (05-03)

•••••• Paper Reduction	Act of 1995, no nersons a	U.S. Paten are required to respond to a collection	t and Trademark	COffice: U.S	ough 04/30/2003. OMB 0651-0031 S. DEPARTMENT OF COMMERCE solays a valid OMB control number.				
Under the Paper Reduction	rior or rood, no portoons t	Application Number	10/655,698						
TRANSMIT	TAL	Filing Date	Sep 5, 2003						
FORM		First Named Inventor	Viscito, Eric						
(to be used for all corresponder	nce after initial filing)	Art Unit	2624	-					
		Examiner Name	Unknown						
Total Number of Pages in This S	Submission 6	Attorney Docket Number	02CON382F	02CON382P-CIP					
ENCLOSURES (check all that apply)									
X Fee Transmittal Form Fee Attached Amendment / Reply After Final		Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a		Group Appeal Appeal Appeal (Appeal	Communication to Board of s and Interferences al Communication to Group al Notice, Brief, Reply Brief) etary Information				
Affidavits/decla  Extension of Time Re  Express Abandonmer  Information Disclosure	equest	Provisional Application Power of Attorney, Revocation Change of Correspondence Ad Terminal Disclaimer Request for Refund CD, Number of CD(s)	1. C 2. C	Status Other identif	Eletter  Enclosure(s) (please by below):  In to Filing Receipt filing Receipt				
Certified Copy of Prior Document(s)  Response to Missing Incomplete Application Response to Miss under 37 CFR 1.5	Parts/ n sing Parts	arks	,						
	SIGNATURE	OF APPLICANT, ATTORN	IEY, OR AG	ENT					
Firm or Individual name Farjami & Fa									
	CERTIF	ICATE OF TRANSMISSION	N/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.P. Box 1450, Alexandria, VA 22313-1450 on the date shown below.									
Type or printed Lori	Lapidario								
Signature	li Legida	~ <del>~</del>		Date	January <b>8</b> , 2004				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450; Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

61PE	\
JAN 12 2004	SELLY.
SENTA THANKS	

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant Claims small entity status. See 37 CFR 1.27

(\$) 0.00 TOTAL AMOUNT OF PAYMENT

Complete if Known					
Application Number	10/655,698				
Filing Date	9/5/2003				
First Named Inventor	Viscito, et al.				
Examiner Name	Unknown				
Art Unit	2624				
Attorney Docket No.	02CON382P-CIP				

METHO	י סכ	OF PA	YMEN	NT (check all that apply)	FEE CALCULATION (continued)						
Check		Credit	card	Money Other None	3. ADDITIONAL FEES						
X Depos	it A a	pount			Fee	Entity Fee	Fee	Entity Fee	Fee Description		Fee Paid
Deposit [		-			Code 1051	(\$) 130	2051	( <b>\$</b> ) 65	Surcharge – late filing fee or oath	í	
Account Number	504	0731			1051	130	2051	ω	Sucharge – late ming lee of batti		
Deposit Account Name	Farj	ami & F	arjami	Ш	1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet		
The Directo	or is	autho	rized to	o: (check all that apply)	1053	130	1053	130	Non-English specification		
Charge	fee(s	s) indicate	d below	Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamin	nation	
X Charge	any	additiona	l fee(s) c	or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge	e fee(	s) indicate	ed below	, except for the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after		
to the above-in	denti	fied depo	sit accou	int.					Examiner action		
		FEI	E CA	LCULATION	1251	110	2251	55	Extension for reply within first month		
1. BASIC	FIL	ING F	EE		1252	420	2252	210	Extension for reply within second month	1	
Large Entit	ty	Small			1253	950	2253	475	Extension for reply within third month		
Fee Fe Code (\$)	e	Fee Code	Fee (\$)	Fee Description Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month		
	70	2001	385	Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month		
	40	2002	170	Design filing fee	1401	330	2401	165	Notice of Appeal		
	30	2003	265	Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal		
	70	2004	385	Reissue filing fee	1403	290	2403	145	Request for oral hearing		
1005 1	60 l	2005	80	Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
		, 2000 00 V 10110011111 IIII. III III III III III III III		1452	110	2452	55	Petition to revive - unavoidable			
			SUE	STOTAL (1) (\$)	1453	1,330	2453	665	Petition to revive – unintentional		
2. EXTRA	A CI	LAIM F	EES	FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)		
			Ext	Fee from	1502	480	2502	240	Design issue fee		
Total Claims	sГ	-20	)**= ·	x = :	1503	640	2503	320	Plant issue fee		
Independent Claims	ıt 🗀	- 3	**=	x =	1460	130	1460	130	Petitions to the Commissioner		
Multiple Dep	end	ent	_		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
Large Entit	ty	Small	Entity		1806	180	1806	180	Submission of Information Disclosure St	tmt	
Fee Fee Code (\$)	e	Fee Code	Fee (\$)	Fee Description	8021	40	8021	40	Recording each patent assignment per		
	18	2202	9	Claims in excess of 20	1809	770	2809	385	property (times number of properties) Filing a submission after final rejection (37 CFR 1.129(a))		
1201	86	2201	43	Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))		
1203 2	90	2203	145	Multiple dependent claim, if not paid	1801	770	2801	385	Request for Continued Examination (RCE)	)	
	86	2204	43	**Reissue independent claims over original patent	1802	900	1802	900	Decision of the control of the decision of the		
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent			•		T. 2 Jooigii approxitori		
		5	SUBT	OTAL (2) (\$)	Other	fee (spe	cify)				
** or nur	mber	previous	sly paid,	if greater; For Reissues, see above	*Reduc	ed by Bas	ic Filing	Fee Paid	SUBTOTAL (3)	(\$)	

SUBMITTED BY Complete (if applicable)					
Name (Print/Type)	Farshad Earjami, Esq.	Agistration No. (Attorney/Agent)	41014	Telephone	(949) 784-4600
Signature	14/1000		, -	Date	1/8/04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PC. Box 1450 Alexandia, Vignaia 22313-1450 www.uspte.gov

FILING OR 371 APPL NO. ART UNIT FIL FEE REC'D (c) DATE ATTY.DOCKET NO **DRAWINGS** TOT CLMS IND CLMS 10/655,698 09/05/2003 2624 768 02CON382P-CIP 21 3

**CONFIRMATION NO. 7178** 

FARJAMI & FARJAMI LLP 16148 Sand Canyon Irvine, CA 92618

FILING RECEIPT OC000000011410294\*

Date Mailed: 12/04/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Eric Viscito, San Francisco, CA, CANADA; Dzung T. Hoang, San Jose, CA; US

**Assignment For Published Patent Application** 

Conexant Systems, Inc.:

Domestic Priority data as claimed by applicant

This application is a CIP of 10/600,163 06/19/2003 which claims benefit of 60/393,665 07/02/2002

Foreign Applications

If Required, Foreign Filing License Granted: 12/03/2003

Projected Publication Date: 03/11/2004

Non-Publication Request: No

Early Publication Request: No

Title

Hypothetical reference decoder with low start-up delays for compressed image and video

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

# **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).